

# PQ-RRT\*: An improved path planning algorithm for mobile robots

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## ABSTRACT

During the last decade, sampling-based algorithms for path planning have gained considerable attention. The RRT\*, a variant of RRT (rapidly-exploring random trees), is of particular concern to researchers due to its asymptotic optimality. However, the limits of the slow convergence rate of RRT\* makes it inefficient for applications. For the purposes of overcoming these limitations, this paper proposes a novel algorithm, PQ-RRT\*, which combines the strengths of P-RRT\* (potential functions based RRT\*) and Quick-RRT\*. PQ-RRT\* guarantees a fast convergence to an optimal solution and generates a better initial solution. The asymptotic optimality and fast convergence of the proposed algorithm are proved in this paper. Comparisons of PQ-RRT\* with P-RRT\* and Quick-RRT\* in four benchmarks verify the effectiveness of the proposed algorithm.

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## 1. Introduction

Mobile robots are gaining more and more attention as intelligent systems. Much attention has been devoted to mobile robots since they can increase productivity and provide various conveniences. Mobile robots with autonomous navigation capabilities are critical to machine intelligence. The basis of navigation is path planning (Huang, Li, Jiang, & Cheng, 2019; Lee, Shin, & Chae, 2018; Majeed & Lee, 2019), which consists of finding feasible paths from the start state to the goal state without colliding with any obstacles. Applications of path planning algorithms include but are not limited to industrial automation (Beyer, Jazdi, Göhner, & Youssefifar, 2015; Pandini, Spacek, Neto, & Junior, 2017), graphical animation (Liu & Badler, 2003), autonomous exploration (Atanacio-Jiménez et al., 2011), medical (Taylor, Menciassi, Fichtinger, Fiorini, & Dario, 2016; Valencia-García, Martínez-Béjar, & Gasparetto, 2005) and robot navigation (González, Pérez, Milanés, & Nashashibi, 2015).

The research on path planning is in full swing. Currently, path planning algorithms mainly include geometric algorithms, arti-

cial potential field methods, grid-based searches, and sampling-based algorithms. Typical representatives of geometric algorithms include visibility graph (Alexopoulos & Griffin, 1992; Asano, Asano, Guibas, Hershberger, & Imai, 1985; Maekawa, Noda, Tamura, Ozaki, & Machida, 2010) and cell decomposition methods (Brooks & Lozano-Perez, 1985). However, these algorithms are mostly limited to low-dimensional path planning problems. Artificial potential fields (APF) (Khatib, 1986), a method first proposed by Khatib, should be given special consideration. APF assumes that there is a virtual force consisting of the repulsive force of the obstacles and the attractive force of the goal region. The system proceeds according to their joint force. Although the operation is easy, APF suffers from the problem of local minima (Koren & Borenstein, 1991). When applying graph theory to a discretized state space, grid-based searches assume that each state corresponds to a grid point. A well-known algorithm in grid-based algorithms is A\* (Hart, Nilsson, & Raphael, 1968; Koenig, Likhachev, & Furcy, 2004; Stentz, 1997). Although it can guarantee resolution completeness and resolution optimality, computation time and memory space will grow exponentially in dimensions.

Sampling-based algorithms have gained a considerable amount of attention as the result of their superior performance in high-dimensional state spaces. These algorithms provide probabilistic completeness. Probabilistic completeness means that if feasible path exists, the probability that the algorithm cannot find the path

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tends towards zero as the number of samples approaches infinity. Undisputed, the most widely used and influential algorithms to date are probabilistic roadmaps (PRMs) (Amato & Wu, 1996; Kavragi & Latombe, 1994; Kavragi, Svestka, Latombe, & Overmars, 1996) and rapidly-exploring Random Trees (RRTs) (LaValle, 1998). The PRM algorithm is a multiple-query method, which is suitable for problems with prior knowledge of the environment. In practice, an environment is not necessarily known in advance, and the multiple-queries methods do not always perform well. As single-query counterparts to PRMs, RRTs have won the attention of the research community, due to their greater efficiency in practical applications. Due to increased attention, a large number of RRT-variants have emerged in the last decade. Introducing a greedy heuristic to RRT, a double tree algorithm, RRT-connect, was proposed. Unlike RRT, RRT-connect (Kuffner & LaValle, 2000) maintains two trees at the same time: one from the initial state and the other from the goal state. However, neither RRT and RRT-connect consider the cost of the found solution, so both cannot ensure optimality. Inspired by the above idea, Karaman et al. (Karaman & Frazzoli, 2011) proposed the RRT\* algorithm as an optimal variant of RRT. Different from RRT, RRT\* adopts optimisation modules, the ChooseParent and Rewire procedures, ensuring the asymptotic optimality. An algorithm is said to be asymptotically optimal if the probability of finding the optimal solution approaches one when the number of samples approaches infinity. RRT\* is a milestone in the development of RRT.

Although finding the optimal path solution is already a challenging task, ensuring fast convergence is also important for path planning. For the purpose of overcoming the slow convergence rate of RRT\*, a lot of work has gradually unfolded. Enlightened by the idea of visibility graph technology, RRT\*-Smart (Islam, Nasir, Malik, Ayaz, & Hasan, 2012) introduces an intelligent sampling method to RRT\*. RRT\*-Smart accelerates the convergence rate and obtains an optimum or a near optimum solution. However, the quality of the solution obtained by RRT\*-Smart greatly depends on the initial solution, which reduces the probability of finding a different homotopy class, thus violating the assumption of uniform sampling of RRT\*. As we know, as the solution optimisation process proceeds, the number of nodes will increase infinitely. Therefore, the implementation of RRT\* will be difficult in a computing system with limited memory. In order to use the memory more efficiently, Olzhas et al. put forward RRT\* FN (Adiyatov & Varol, 2013), an algorithm with a fixed number of nodes. When the number of nodes exceeds a given value, it is important to remove nodes that are not useful in reducing the cost. In addition to the above methods, it is worth noting the sampling heuristic known as node rejection (Akgun & Stilman, 2011; Ferguson & Stentz, 2006). Informed RRT\* (Gammell, Srinivasa, & Barfoot, 2014), inspired by node rejection, uses a direct sampling method that samples in a hyper-ellipsoid. However, the algorithm will no longer be applicable when the associated prolate hyperspheroid is larger than the domain of the planning problem.

A fundamental reason for the slow convergence rate of RRT\* is its pure exploration, while APF (Khatib, 1986) suffers from the problem of local minima due to its pure exploitation. Based on the above ideas, Qureshi et al. proposed an improved algorithm, P-RRT\* (Qureshi & Ayaz, 2016), which incorporates the APF into RRT\*. It is the addition of APF that provides a direction for exploration, giving P-RRT\* a faster convergence than RRT\*. P-RRT\* introduces APF to achieve a trade-off between exploration and exploitation, which is worth noting. Accelerating the convergence rate of RRT\* can not only start from the guided sampling process, but it can also be optimised from the structure of RRT\* itself. In this regard, Jeong et al. first point out that use of triangular inequality to improve the ChooseParent and Rewire procedures, proposing Quick-RRT\* (Jeong, Lee, & Kim, 2019). Compared with RRT\*, Quick-RRT\*

allows a faster rate of convergence. Since Quick-RRT\* is a tree-extending algorithm, any sampling strategy or graph-pruning algorithm can be combined with Quick-RRT\*.

This paper proposes a novel algorithm, PQ-RRT\*, for the optimal path planning mobile robots. Compared with P-RRT\* and Quick-RRT\*, PQ-RRT\* generates a better initial solution and a fast convergence to optimal solution. A theoretical proof is given for the completeness, asymptotic optimality and faster convergence of the proposed algorithm. In addition, PQ-RRT\* has the same computational complexity as P-RRT\* and Quick-RRT\*. Comparative simulations are performed according to four benchmarks, which validate the effectiveness of the proposed algorithm.

The remaining sections of this paper are outlined as follows. Section 2 addresses the problem definition. Section 3 introduces the relevant prerequisites for the proposed algorithm. Section 4 explains the proposed algorithm. Section 5 presents analysis of completeness, optimality and computational complexity. Section 6 provides the simulation results. Section 7 summarizes the main contributions and discusses some future research directions.

## 2. Problem definition

This section presents three motion planning problems to be solved. Let  $X \subseteq \mathbb{R}^d$  be the configuration space, where  $d \in \mathbb{N}$ ,  $d \geq 2$ . Let  $X_{obs} \subset X$  be the obstacle region, and denote the obstacle-free space as  $X_{free} = cl(X \setminus X_{obs})$ , where  $cl(\cdot)$  denotes the closure of a set.  $x_{init}$  and  $X_{goal}$  are the initial configuration and the goal region, respectively. A continuous function  $\sigma: [0, 1] \rightarrow X$  is called a path, if it has bounded variation. The path is collision-free, if  $\sigma(\tau) \in X_{free}$  for all  $\tau \in [0, 1]$ .

A path planning problem is to find a collision-free path  $\sigma: [0, 1] \rightarrow X_{free}$  that starts from the initial configuration  $\sigma(0) = x_{init}$  and reaches the goal region  $\sigma(1) \in X_{goal}$  and  $\sigma(\tau) \in X_{free}$  for all  $\tau \in [0, 1]$ . If a path  $\sigma: [0, 1] \rightarrow X$  is a collision-free path,  $\sigma(0) = x_{init}$  and  $\sigma(1) \in X_{goal}$ , then it is called a feasible path. Given a triplet  $\{x_{init}, X_{obs}, X_{goal}\}$ , path planning problem is to find a feasible path. Problem 1 presents the feasibility problem of path planning.

**Problem 1** (Feasible Path Planning). Given a triplet  $\{x_{init}, X_{obs}, X_{goal}\}$ , find a feasible path, if one exists. Report failure if no such solution exists.

Let  $\Sigma$  denote the set of all paths, and  $\Sigma_{feasible}$  is a set of all feasible paths. Let  $c(\cdot)$  be the cost function in terms of Euclidean distance function. Problem 2 formalizes the optimality problem of path planning.

**Problem 2** (Optimal Path Planning). Given a triplet  $\{x_{init}, X_{obs}, X_{goal}\}$  and a cost function  $c: \Sigma \rightarrow \mathbb{R}_{\geq 0}$ , find a feasible path  $\sigma^*$  such that  $c(\sigma^*) = \min\{c(\sigma) : \sigma \in \Sigma_{feasible}\}$ . Report failure if no such solution exists.

Let  $t \in \mathbb{R}$  denote the time required by the algorithm to find a set of all feasible paths  $\Sigma_{feasible}$ . The fast path planning stated in Problem 3 demonstrates that optimal path solution must be found in least possible time.

**Problem 3** (Fast Path Planning). Find the optimal path solution in least possible time  $t \in \mathbb{R}$ .

## 3. Related work

This section first introduces RRT\*, which forms the basis of P-RRT\* and Quick-RRT\* algorithms, and then it explains P-RRT\* and Quick-RRT\* subsequently. The above two algorithms are the cornerstones of the proposed PQ-RRT\* algorithm.

### 3.1. RRT\*

This section formally introduces RRT\*, which is an incremental sampling-based motion planning algorithm. RRT\* guarantees asymptotic optimality, that is, an almost-sure convergence to optimal solution. Before showing the RRT\* algorithm, a brief description of RRT will be provided. The inputs of RRT consist of the initial state, the goal state (region) and the environment. The output is a graph including a feasible path. In each iteration, a sample  $x_{rand}$  is selected randomly from  $X_{free}$ , then the closest vertex  $x_{nearest}$  to the sample  $x_{rand}$  is found in terms of distance metric. The graph verifies whether there is a feasible local path  $\sigma_{local}$  from  $x_{nearest}$  to  $x_{rand}$ . If so, the sample  $x_{rand}$  and the local path  $\sigma_{local}$  are added to the tree. The above steps are repeated until a feasible path is found or the stop criteria are met. Next, we will briefly describe RRT\*, which is shown in Algorithm 1.

#### Algorithm 1 RRT\*.

---

```

1:  $V \leftarrow \{x_{init}\}; E \leftarrow \emptyset;$ 
2: for  $i = 1$  to  $n$  do
3:    $x_{rand} \leftarrow SampleFree(i);$ 
4:    $x_{nearest} \leftarrow Nearest(V, x_{rand});$ 
5:    $\sigma \leftarrow Steer(x_{nearest}, x_{rand});$ 
6:   if  $CollisionFree(\sigma)$  then
7:      $x_{near} \leftarrow Near(V, x_{rand}, \min\{\gamma_{RRT^*}(\log(card(V)))/$ 
        $card(V)^{1/d}, \eta\});$ 
8:      $(x_{parent}, \sigma_{parent}) \leftarrow ChooseParent(x_{near}, x_{nearest}, \sigma);$ 
9:      $V \leftarrow V \cup \{x_{rand}\};$ 
10:     $E \leftarrow E \cup (x_{parent}, x_{rand});$ 
11:     $G \leftarrow Rewire(G, x_{rand}, x_{near});$ 
12:  end if
13: end for
14: return  $G = (V, E);$ 

```

---

Unlike RRT, RRT\* adopts two optimisation procedures, ChooseParent and Rewire. In the ChooseParent procedure, RRT\* searches the  $X_{near}$ , (a set of vertices in a hypersphere of a specific radius centered at  $x_{rand}$ ), to find the optimum. Through the optimisation, a path has the lowest cost from the root  $x_{init}$  to  $x_{rand}$ . The ChooseParent procedure is outlined in Algorithm 2. After adding  $x_{rand}$  to

#### Algorithm 2 ChooseParent( $X_{near}, x_{nearest}, x_{rand}, \sigma_{nearest}$ ).

---

```

1:  $x_{min} \leftarrow x_{nearest};$ 
2:  $\sigma_{min} \leftarrow \sigma_{nearest};$ 
3:  $c_{min} \leftarrow Cost(x_{nearest}) + Cost(\sigma_{nearest});$ 
4: for each  $x_{near} \in X_{near}$  do
5:    $\sigma \leftarrow Steer(x_{near}, x_{rand});$ 
6:    $c \leftarrow Cost(x_{near}) + Cost(\sigma);$ 
7:   if  $c < c_{min}$  then
8:     if  $CollisionFree(\sigma)$  then
9:        $x_{min} \leftarrow x_{near};$ 
10:       $\sigma_{min} \leftarrow \sigma;$ 
11:    end if
12:  end if
13: end for
14: return  $(x_{min}, \sigma_{min});$ 

```

---

the tree, RRT\* tries to optimise the cost of the element of  $X_{near}$  through  $x_{rand}$ . If the local path  $\sigma_{local}$  from  $x_{rand}$  to any element of  $X_{near}$ ,  $x_{near}$ , is collision-free, and the local path  $\sigma_{local}$  has a lower cost than the current path, then the parent of  $x_{near}$  is replaced with  $x_{rand}$ . Algorithm 3 presents the Rewire procedure. Following this, the primitive procedures involved in RRT\* are briefly explained.

- SampleFree: It returns a random sample from  $X_{free}$ .

#### Algorithm 3 Rewire( $G, x_{rand}, x_{near}$ ).

---

```

1: for each  $x_{near} \in X_{near}$  do
2:    $\sigma \leftarrow Steer(x_{rand}, x_{near});$ 
3:   if  $Cost(x_{rand}) + Cost(\sigma) < Cost(x_{near})$  then
4:     if  $CollisionFree(\sigma)$  then
5:        $x_{parent} \leftarrow Parent(x_{near});$ 
6:        $E \leftarrow (E \setminus \{(x_{parent}, x_{near})\}) \cup \{(x_{rand}, x_{near})\};$ 
7:     end if
8:   end if
9: end for
10: return  $G = (V, E);$ 

```

---

- Nearest: It returns the closest vertex from  $V$  in the graph  $G = (V, E)$  in terms of a given distance metric. In this paper, we use Euclidean distance function.
- Near: Given a graph  $G = (V, E)$  and a configuration  $x$ , it returns the set of neighboring vertices of the sample  $x$ . The set is the vertices contained in a ball of a radius  $r$  centered at  $x$ .
- CollisionFree: It checks whether the local path  $\sigma$  lies entirely in  $X_{free}$ .
- Steer: Given two configurations  $x_s, x_t \in X$ , the function returns the line segment connecting  $x_s$  to  $x_t$ .

### 3.2. P-RRT\*

In this section, we show Potential Function Based-RRT\* (P-RRT\*), which is an extension of RRT\*. The RRT\* algorithm here is not the original version, but a slightly modified one. The objective of the modification is to reduce the number of calls to the CollisionFree procedure (Perez et al., 2011). Besides using the modified version of RRT\*, the outstanding feature of P-RRT\* is its incorporation of artificial potential field (APF) into RRT\*. The pseudocode for the P-RRT\* algorithm is presented in Algorithm 4. Some new

#### Algorithm 4 P-RRT\*.

---

```

1:  $V \leftarrow \{x_{init}\}; E \leftarrow \emptyset;$ 
2: for  $i = 1$  to  $n$  do
3:    $x_{rand} \leftarrow SampleFree(i);$ 
4:    $x_{prand} \leftarrow RGD(x_{rand});$ 
5:    $x_{near} \leftarrow Near(V, x_{prand}, \min\{\gamma_{RRT^*}(\log(card(V)))/$ 
        $card(V)^{1/d}, \eta\});$ 
6:   if  $X_{near} = \emptyset$  then
7:      $x_{near} \leftarrow Nearest(V, x_{prand})$ 
8:   end if
9:    $L_{near} \leftarrow GetTuple(x_{prand}, x_{near})$ 
10:   $x_{parent} \leftarrow FindBestParent(L_{near}, x_{prand})$ 
11:  if  $x_{parent} \neq \emptyset$  then
12:     $V \leftarrow V \cup \{x_{prand}\};$ 
13:     $E \leftarrow E \cup (x_{parent}, x_{prand});$ 
14:     $G \leftarrow Rewire(G, x_{prand}, L_{near});$ 
15:  end if
16: end for
17: return  $G = (V, E);$ 

```

---

procedures used in P-RRT\* are presented below.

- GetTuple: Given  $x_{prand}$  and  $x_{near}$ , returns  $L_{near}$ , which is a sorted data structure in ascending order according to their costs. Each element includes three data attributes, cost,  $x_{near}$  and local path  $\sigma_{local}$ .
- FindBestParent: Given  $L_{near}$  and  $x_{prand}$ , it returns  $x_{parent} \in X_{near}$ , which is the best parent of  $x_{prand}$ .

The RGD procedure is presented in Algorithm 5 in detail. The random sample  $x_{rand}$  is adjusted under the attractive force of the

**Algorithm 5** RGD( $x_{rand}$ ).

---

```

1:  $x_{prand} \leftarrow x_{rand}$ ;
2: for  $n = 1$  to  $k$  do
3:    $\vec{F}_{att} \leftarrow (x_{goal} - x_{prand})$ ;
4:    $d_{min} \leftarrow \text{NearestObstacle}(X_{obs}, x_{prand})$ ;
5:   if  $d_{min} \leq d_{obs}^*$  then
6:     return  $x_{prand}$ ;
7:   else
8:      $x_{prand} \leftarrow x_{prand} + \lambda \frac{\vec{F}_{att}}{|\vec{F}_{att}|}$ ;
9:   end if
10: end for
11: return  $x_{prand}$ ;

```

---

goal region  $X_{goal}$ , obtaining an improved sample  $x_{prand}$ . The NearestObstacle procedure computes the shortest distance from  $x_{prand}$  to the obstacle space  $X_{obs}$ . There are three parameters  $k$ ,  $\lambda$  and  $d_{obs}^*$  used in RGD. Here,  $k$  is a limited number of iterations and the constant  $d_{obs}^*$  is a very small distance from  $X_{obs}$ , and  $\lambda$  is a small incremental step towards the target. Obviously, these parameters need to be adjusted, but the parameter tuning is omitted here. In this paper, for P-RRT\* and PQ-RRT\*  $\lambda = d_{obs}^* = 0.1$ , while  $k = 80$ .

## 3.3. Quick-RRT\*

Quick-RRT\*, as shown in Algorithm 6, has two special adjustments. They are the ChooseParent and the Rewire procedures. In the ChooseParent procedure associated with Quick-RRT\*, the search range of the possible parent vertices of  $x_{rand}$  includes not only  $X_{near}$  but also the ancestry of  $X_{near}$  up to a predefined depth. Obviously, expanding the search scope can better optimise the generated tree. However, expanding the search scope does not increase the computation time significantly, for this element of  $X_{near}$  usually shares a common parent. Like the ChooseParent procedure, the Rewire procedure also considers the ancestry of the vertex  $x_{rand}$ . The Rewire procedure of Quick-RRT\* is presented in Algorithm 7.

Note that there is a new procedure Ancestry. Related functions of this procedure are described below.

- ancestor: Given a graph  $G = (V, E)$ , a vertex  $p$  and a constant number  $d \in \mathbb{N}$ , it returns the  $d$ -th parent of  $p$ .
- Ancestry: Given a graph  $G = (V, E)$  and a vertex  $p$ , if the depth  $d$  is 0, returns  $\emptyset$ , otherwise returns  $\bigcup_{i=1}^d \text{ancestor}(G, p, i)$ .

**Algorithm 6** Quick-RRT\*.

---

```

1:  $V \leftarrow \{x_{init}\}$ ;  $E \leftarrow \emptyset$ ;
2: for  $i = 1$  to  $n$  do
3:    $x_{rand} \leftarrow \text{SampleFree}(i)$ ;
4:    $x_{nearest} \leftarrow \text{Nearest}(V, x_{rand})$ ;
5:    $\sigma \leftarrow \text{Steer}(x_{nearest}, x_{rand})$ ;
6:   if  $\text{CollisionFree}(\sigma)$  then
7:      $x_{near} \leftarrow \text{Near}(V, x_{rand}, \min\{\gamma_{\text{RRT}^*}(\log(\text{card}(V))) / \text{card}(V)^{1/d}, \eta\})$ ;
8:      $x_{parent} \leftarrow \text{Ancestry}(G, x_{near})$ ;
9:      $(x_{parent}, \sigma_{parent}) \leftarrow \text{ChooseParent}(x_{near} \cup x_{parent}, x_{nearest}, \sigma)$ ;
10:     $V \leftarrow V \cup \{x_{rand}\}$ ;
11:     $E \leftarrow E \cup (x_{parent}, x_{rand})$ ;
12:     $G \leftarrow \text{Rewire-Q-RRT}^*(G, x_{rand}, x_{near})$ ;
13:  end if
14: end for
15: return  $G = (V, E)$ ;

```

---

**Algorithm 7** Rewire-Q-RRT\*( $G, x_{rand}, X_{near}$ ).

---

```

1: for each  $x_{near} \in X_{near}$  do
2:   for each  $x_{from} \in \{x_{rand}\} \cup \text{ancestor}(G, x_{rand})$  do
3:      $\sigma \leftarrow \text{Steer}(x_{from}, x_{near})$ ;
4:     if  $\text{Cost}(x_{from} + \text{Cost}(\sigma)) < \text{Cost}(x_{near})$  then
5:       if  $\text{CollisionFree}(\sigma)$  then
6:          $x_{parent} \leftarrow \text{Parent}(x_{near})$ ;
7:          $E \leftarrow E \setminus \{(x_{parent}, x_{near})\} \cup \{(x_{from}, x_{near})\}$ ;
8:       end if
9:     end if
10:  end for
11: end for
12: return  $G = (V, E)$ ;

```

---

## 4. PQ-RRT\*

This section presents the details of the proposed PQ-RRT\* algorithm. In order to further accelerate the convergence rate, this paper proposes the PQ-RRT\* algorithm based on Quick-RRT\* and P-RRT\*. Algorithm 8 shows the pseudocode for the PQ-RRT\* algorithm.

PQ-RRT\* has three main improvements when compared with RRT\*. The first point is to change the sampling strategy by adopting the attractive force of the target region. The second point is expanding the search scope of the ChooseParent procedure. The search scope contains not only the neighbor  $X_{near}$  but also the ancestry of the  $X_{near}$ . The last one is the improvement of the Rewire-PQ-RRT\* procedure, which is similar to the second point.

## 5. Analysis

This section presents the completeness, asymptotic optimality, fast convergence rate and computational complexity of the proposed algorithm. Some symbols used in the analysis are described below. Let ALG denote the label of the algorithms mentioned in the following. Let  $V_n^{ALG}$  and  $E_n^{ALG}$  be the vertices and edges in the graph  $G_n^{ALG}$  generated by an algorithm after  $n$  iterations.

## 5.1. Probabilistic completeness

Problem 1 is considered in this section. It is widely recognised that most sampling-based algorithms can guarantee probabilistic completeness. The concept of probabilistic completeness is formalised below.

**Algorithm 8** PQ-RRT\*.

---

```

1:  $V \leftarrow \{x_{init}\}$ ;  $E \leftarrow \emptyset$ ;
2: for  $i = 1$  to  $n$  do
3:    $x_{rand} \leftarrow \text{SampleFree}(i)$ ;
4:    $x_{prand} \leftarrow \text{RGD}(x_{rand})$ ;
5:    $x_{nearest} \leftarrow \text{Nearest}(V, x_{prand})$ ;
6:    $\sigma \leftarrow \text{steer}(x_{nearest}, x_{prand})$ ;
7:   if  $\text{CollisionFree}(\sigma)$  then
8:      $x_{near} \leftarrow \text{Near}(V, x_{prand}, \min\{\gamma_{\text{RRT}^*}(\log(\text{card}(V))) / \text{card}(V)^{1/d}, \eta\})$ ;
9:      $x_{parent} \leftarrow \text{Ancestry}(G, x_{near})$ ;
10:     $(x_{parent}, \sigma_{parent}) \leftarrow \text{ChooseParent}(x_{near} \cup x_{parent}, x_{nearest}, \sigma)$ ;
11:     $V \leftarrow V \cup \{x_{rand}\}$ ;
12:     $E \leftarrow E \cup (x_{parent}, x_{rand})$ ;
13:     $G \leftarrow \text{Rewire-PQ-RRT}^*(G, x_{rand}, x_{near})$ ;
14:  end if
15: end for
16: return  $G = (V, E)$ ;

```

---

**Definition 1** (Probabilistic completeness). Given a triplet  $\{x_{init}, X_{obs}, X_{goal}\}$ , an algorithm ALG is said to be probabilistically complete if for any robustly feasible path planning problems,  $\lim_{n \rightarrow \infty} \mathbb{P}(V_n^{ALG} \cap X_{goal} \neq \emptyset) = 1$  and the graph returned by ALG includes a path connecting the root  $x_{init}$  to  $x_{goal} \in X_{goal}$ .

Karaman et al. have proved that RRT provides probabilistic completeness and RRT\* inherits this property of RRT (Karaman & Frazzoli, 2011). As a result, the probabilistic completeness of P-RRT\* and Quick-RRT\* algorithms has been deduced (Jeong et al., 2019; Qureshi & Ayaz, 2016). The probabilistic completeness of the proposed algorithm PQ-RRT\* is stated in Theorem 1.

**Theorem 1** (Probabilistic completeness of PQ-RRT\*). For any given robustly feasible path planning problems

$\{x_{init}, X_{obs}, X_{goal}\}$ , the probability of finding a feasible solution approaches one, i.e.,

$$\lim_{n \rightarrow \infty} \mathbb{P}(\exists x_{goal} \in V_n^{PQ-RRT*} \cap X_{goal} \text{ such that } x_{init} \text{ is connected to } x_{goal} \in X_{goal}) = 1.$$

**Proof of Theorem 1.** First, we select the same samples as P-RRT\*. Moreover, Quick-RRT\* just changes the growth trend of the tree but not the connectivity of the tree. Hence the PQ-RRT\* provides probabilistic completeness as P-RRT\*.  $\square$

## 5.2. Asymptotic optimality

RRT\* was proposed by Karaman et al., who proved that RRT does not possess the property of asymptotic optimality that is possessed by RRT\*. The related definitions and concepts required to prove the asymptotic optimality of PQ-RRT\* are given in the following words.

Let  $\eta$  be a non-negative real number. For a state  $y \in X_{free}$ ,  $B_{y,\eta}$  denotes a closed ball region of radius  $\eta$  centered at  $y$ .  $X_{int_\eta} := \{y \in X_{free} | B_{y,\eta} \subseteq X_{free}\}$  and  $X_{ext_\eta} := X_{free} \setminus X_{int_\eta}$ .

**Definition 2** (strong  $\eta$  - clearance). A path is said to have strong  $\eta$  - clearance if and only if all points of the path belong to  $X_{int_\eta}$ .

**Definition 3** (weak  $\eta$  - clearance). A path  $\sigma_1: [0, 1]$  is said to have weak  $\eta$  - clearance if there exists a path  $\sigma_2: [0, 1]$  and function  $\phi: [0, 1]$  such that  $\phi(0) = \sigma_1$ ,  $\phi(1) = \sigma_2$  and  $\phi(\tau)$  is strong  $\eta$  - clearance for  $\tau \in (0, 1)$ .

Let  $Y_n^{ALG}$  be a random variable, which denotes the cost of the minimum-cost solution in the graph returned by ALG after  $n$  iterations.

**Definition 4** (Asymptotic optimality). For any path planning problems, an algorithm ALG is said to be asymptotically optimal if ALG will return a graph including a minimum-cost solution as the number of samples tends to infinity. It can be expressed clearly by the following formula.

$$\mathbb{P}\left(\limsup_{n \rightarrow \infty} Y_n^{ALG} = L^*\right) = 1$$

In the above formula,  $L^*$  represents the cost of the optimal solution. In fact, P-RRT\* introduces intelligent sampling heuristic into RRT\* to direct the random samples (Qureshi & Ayaz, 2016). However, the other procedures are the same as RRT\*. Therefore, P-RRT\* inherits the property of asymptotic optimality. Similarly, PQ-RRT\* can be understood as an optimisation version of P-RRT\*, so the proposed PQ-RRT\* algorithm also possesses the asymptotic optimality property.

## 5.3. Fast convergence to optimal solution

The proof of fast convergence requires a definition, which shows a critical property of the optimal solution.

**Definition 5** (Optimal path planning). If a collision-free path has weak  $\eta$  - clearance, the path is said to be optimal.

Similar to the proof of asymptotic optimality, the property of fast convergence of PQ-RRT\* mainly hinges on the P-RRT\* algorithm. The following theorem presents the reason why P-RRT\* provides fast convergence.

**Theorem 2** (Potential guided sampling heuristic RGD(x)). The RGD(x) heuristic guides the random samples towards the goal region in such a manner so that  $\mathbb{P}(x_{prand} \in X_{ext_\eta}) > 0$ .

From Theorem 2 and Definition 5, a conclusion that P-RRT\* has the property of fast convergence to optimal solution is obtained. Since Quick-RRT\* provides a new optimisation frame, the rest procedures of PQ-RRT\* are the same as P-RRT\*, it can be concluded that PQ-RRT\* inherits the property of fast convergence of P-RRT\*.

## 5.4. Computational complexity

In this section, the computational complexity of the proposed PQ-RRT\* algorithm is introduced. Let  $S_n^{ALG}$  be the number of procedures executed by ALG after  $n$  iterations. Theorem 3 presents the fact that PQ-RRT\* has the same computational complexity as P-RRT\* and Quick-RRT\*.

**Theorem 3.** There exist two constants  $\alpha_1$  and  $\alpha_2$  such that

$$\lim_{n \rightarrow \infty} \mathbb{E} \left[ \frac{S_n^{PQ-RRT*}}{S_n^{P-RRT*}} \right] \leq \alpha_1,$$

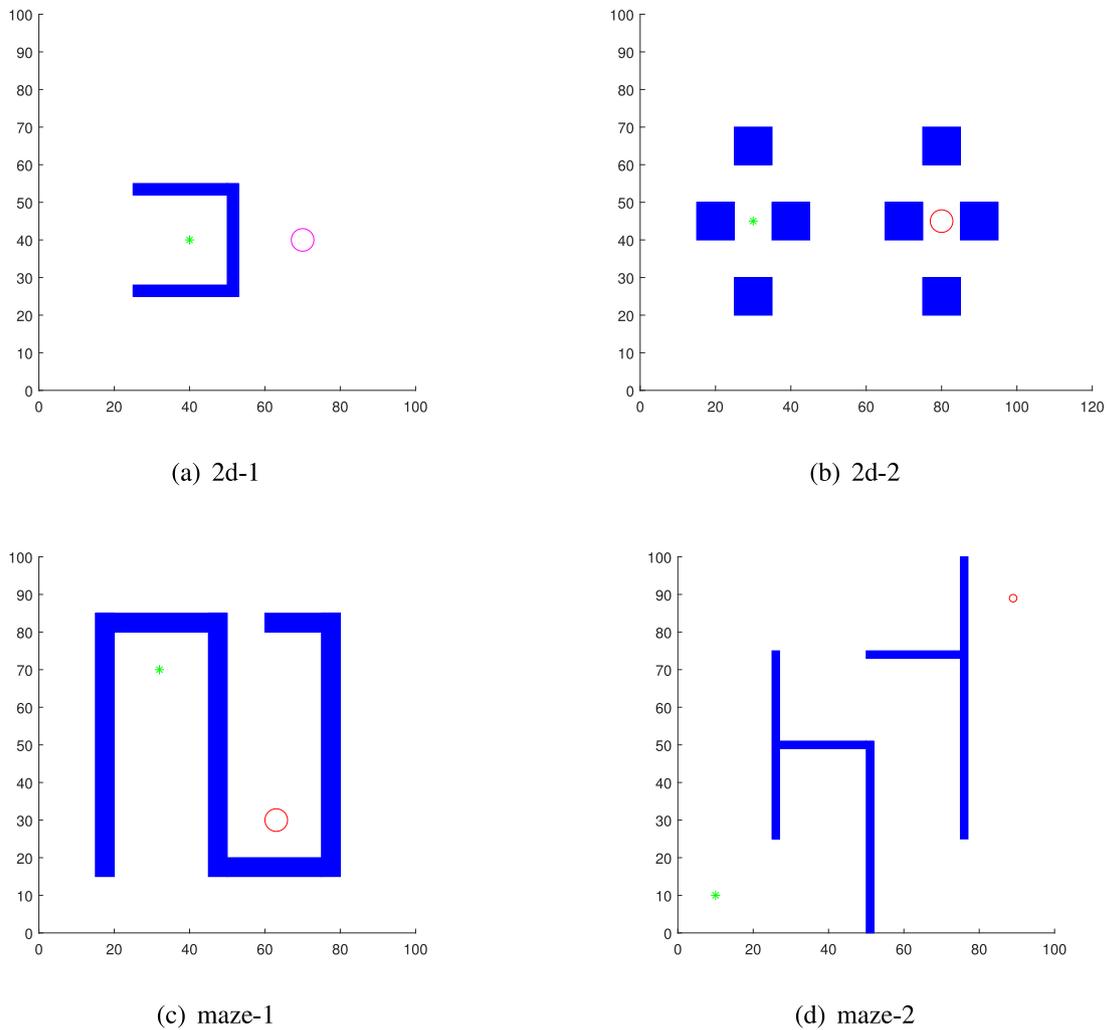
$$\lim_{n \rightarrow \infty} \mathbb{E} \left[ \frac{S_n^{PQ-RRT*}}{S_n^{Quick-RRT*}} \right] \leq \alpha_2.$$

**Proof of Theorem 3.** Let's first analyze the first formula. The difference between PQ-RRT\* and P-RRT\* is the integration of Quick-RRT\*. The contributions of Quick-RRT\* are the improvements on optimisation procedures, the ChooseParent and the Rewire. Since most neighbor vertices have a common ancestor, Quick-RRT\* does not greatly increase the computational complexity. Similarly, for the second formula, RGD procedure is considered undoubtedly. For the RGD procedure, it does not increase the number of the samples, but improves the quality of the sample. Hence the two algorithms have the same asymptotic computational complexity.  $\square$

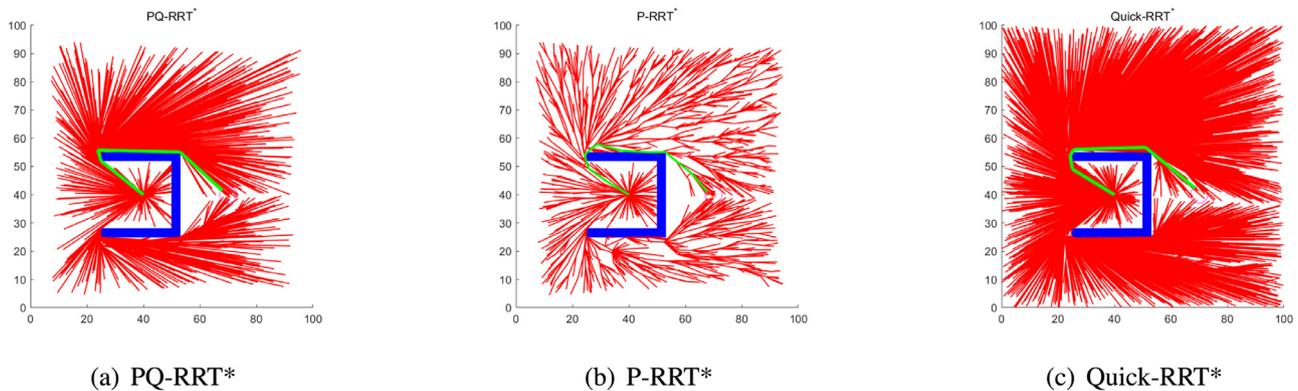
## 6. Simulation results

In this section, PQ-RRT\* is compared with the existing algorithms, P-RRT\* and Quick-RRT\*, in accordance with four benchmarks: two 2-dimensional environments for P-RRT\* and two environments for Quick-RRT\*. P-RRT\* and Quick-RRT\* are used for comparative analysis, since they are typical examples of fast convergence algorithms. Due to the randomness of sampling-based algorithms, each algorithm was run 100 times.

The parameters in the simulation are  $\lambda$ ,  $d_{obs}^*$ ,  $k$  and the depth  $d$ . For a fair comparison, the simulation parameters are the same for all algorithms. In the ChooseParent procedure,  $d = 2$ , and in the Rewire procedure,  $d = 1$ . Two evaluation indicators are utilized to compare the performance of the three algorithms: ' $l_f$ ', the length of the first found solution, and ' $T_{5\%}$ ', the time to find a solution of ' $1.05 \cdot l_{optimal}$ ', where  $l_{optimal}$  is the length of optimal solution. For the purpose of demonstrating the better performance of the PQ-RRT\* algorithm, the same random seed is used in the Sample-Free procedure in each comparison. Note that these algorithms will stop once the approximate optimal solutions are found. The simulations are implemented on an Intel Xeon(R) E3-1240 CPU with 8G of RAM. The simulation platform is Matlab. Four test environments are the same size,  $100 \cdot 100$ . The "Fail" value is the number of



**Fig. 1.** Four test environments. (a) and (b) are two-dimensional environments for P-RRT\*, (c) and (d) are maze environments for Quick-RRT\*. (green star: start state, red circle: goal region).



**Fig. 2.** Performance of the three algorithms in the environment 2d-1.

failures. Failure means an algorithm cannot find the approximate optimal solution within 300 secs. The simulation environments are shown in Fig. 1.

### 6.1. 2d-1

Environment 2d-1 is shown in Fig. 1(a). Fig. 2 shows the performance of the three algorithms in environment 2d-1. In Fig. 2, the generated paths of PQ-RRT\* ( $l_f = 89.2985$ ,  $T_{5\%} = 1.667$ ), P-RRT\* ( $l_f =$

$96.3998$ ,  $T_{5\%} = 6.558$ ) and Quick-RRT\* ( $l_f = 101.7946$ ,  $T_{5\%} = 32.949$ ) are shown. It can be seen from the results of this run that the proposed algorithm, PQ-RRT\*, has a better initial solution and a faster convergence rate than the other two algorithms. In this environment,  $l_{optimal} = 72.8829$ .

The statistical results of 100 simulations are described by the boxplots. The unit of the ordinate of the boxplot is seconds. The simulation results of  $l_f$  and  $T_{5\%}$  are shown in the Figs. 3 and 4 respectively. In the boxplots, p-q denotes PQ-RRT\*, p denotes P-

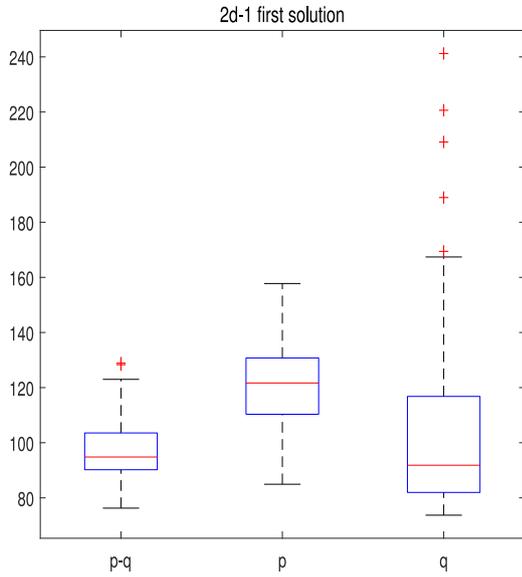


Fig. 3.  $l_f$  in the 2d-1.

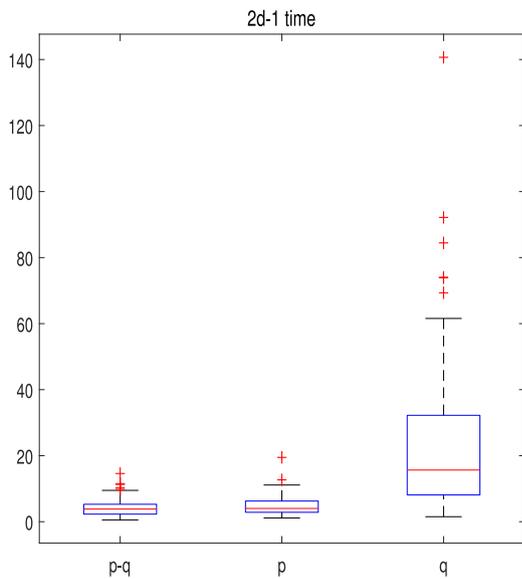


Fig. 4.  $T_{5\%}$  in the 2d-1.

Table 1

Comparing algorithms on the quality of the initial solution and convergence rate in 2d-1(Std represents the standard deviation).

Algorithm		Mean	Std	Min	Max	Fail
<b>PQ-RRT*</b>	$l_f$	96.8742	10.1366	76.2692	128.8751	0
	$T_{5\%}$	4.3554	2.6687	0.547	14.596	
<b>P-RRT*</b>	$l_f$	120.4426	15.5077	84.9359	157.7636	0
	$T_{5\%}$	4.8352	2.9337	1.14	19.475	
<b>Quick-RRT*</b>	$l_f$	104.0857	32.7497	73.7135	241.232	0
	$T_{5\%}$	23.7181	22.5681	1.512	140.67	

Table 2

Comparing algorithms on the quality of the initial solution and convergence rate in 2d-2.

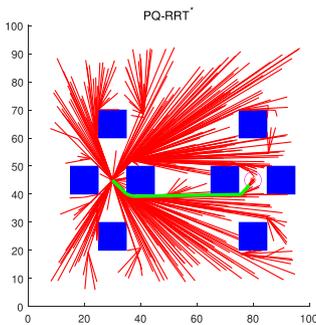
Algorithm		Mean	Std	Min	Max	Fail
<b>PQ-RRT*</b>	$l_f$	60.3689	3.8795	52.3068	70.9071	0
	$T_{5\%}$	9.2958	7.4132	0.187	40.259	
<b>P-RRT*</b>	$l_f$	65.6481	7.5297	55.095	105.559	0
	$T_{5\%}$	11.9609	10.6067	0.619	56.207	
<b>Quick-RRT*</b>	$l_f$	73.4725	21.052	54.1837	144.1125	0
	$T_{5\%}$	40.0247	40.5819	1.51	297.421	

RRT\*, and q denotes Quick-RRT\*. Although the median of  $l_f$  for PQ-RRT\* is slightly higher than the median of  $l_f$  for Quick-RRT\*, PQ-RRT\* is better than Quick-RRT\* overall. It can be clearly seen from Fig. 4 that in the environment of 2d-1 PQ-RRT\* and P-RRT\* have a faster convergence rate than Quick-RRT\*. The statistics in Table 1 indicate that PQ-RRT\* has better stability. Based on the above analysis, PQ-RRT\* performs better than P-RRT\* and Quick-RRT\* in 2d-1.

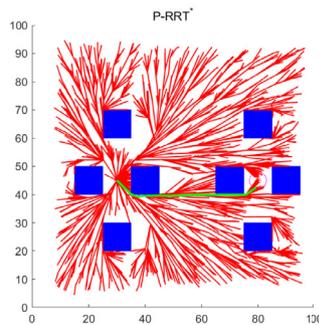
6.2. 2d-2

Environment 2d-2 is shown in Fig. 1(b). Fig. 5 shows the performance of the three algorithms. In Fig. 5, the generated paths of PQ-RRT\* ( $l_f = 56.1574$ ,  $T_{5\%} = 0.781$ ), P-RRT\* ( $l_f = 56.5157$ ,  $T_{5\%} = 4.064$ ) and Q-RRT\* ( $l_f = 54.598$ ,  $T_{5\%} = 33.031$ ) are shown. From the results of this run, we can draw a conclusion that the proposed algorithm, PQ-RRT\*, outperforms the other two algorithms in this comparison. In the environment 2d-2,  $l_{optimal} = 52.0711$ .

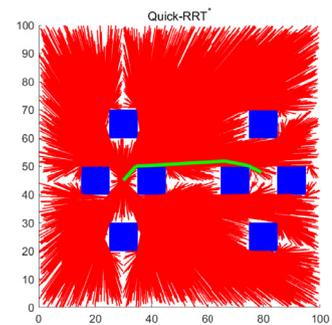
The statistical results of 100 simulations are shown in the boxplots. Figs. 6 and 7 plot the results of  $l_f$  and  $T_{5\%}$  respectively. It can be clearly seen from Figs. 6 and 7 and Table 2 that PQ-RRT\* is outstanding on the two indicators,  $l_f$  and  $T_{5\%}$ . Based on the above analysis, PQ-RRT\* performs better than P-RRT\* and Quick-RRT\* in 2d-2.



(a) PQ-RRT\*



(b) P-RRT\*



(c) Q-RRT\*

Fig. 5. Performance of the three algorithms in the environment 2d-2.

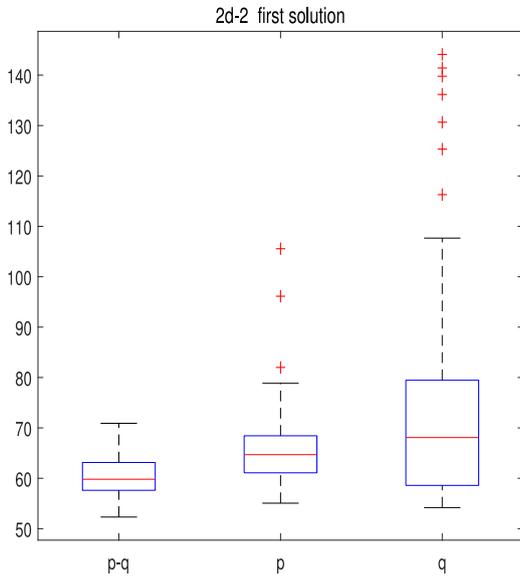


Fig. 6.  $l_f$  in the 2d-2.

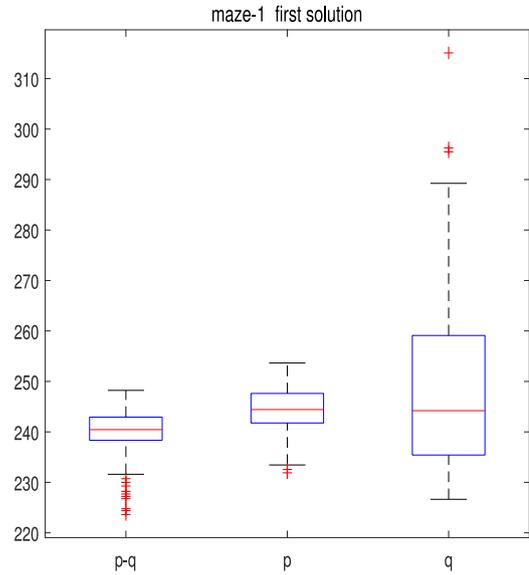


Fig. 9.  $l_f$  in the maze-1.

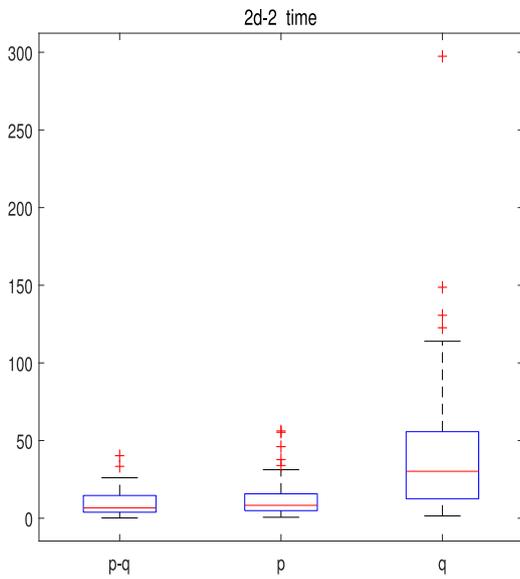


Fig. 7.  $T_{5\%}$  in the 2d-2.

Table 3

Comparing algorithms on the quality of the initial solution and convergence rate in maze-1.

Algorithm		Mean	Std	Min	Max	Fail
PQ-RRT*	$l_f$	239.4538	5.3222	223.6241	248.227	0
	$T_{5\%}$	9.5649	4.32	2.818	22.464	
P-RRT*	$l_f$	244.1686	4.482	231.8931	253.6486	4
	$T_{5\%}$	64.2541	65.9887	4.67	280.973	
Quick-RRT*	$l_f$	250.4689	19.7013	226.6428	315.0957	0
	$T_{5\%}$	23.6302	23.3234	2.768	162.794	

6.3. maze-1

Environment maze-1 is shown in Fig. 1(c). Fig. 8 shows the performance of the three algorithms. In Fig. 8, the generated paths of PQ-RRT\* ( $l_f = 243.824$ ,  $T_{5\%} = 2.849$ ), P-RRT\* ( $l_f = 247.7364$ ,  $T_{5\%} = 4.67$ ) and Q-RRT\* ( $l_f = 252.1599$ ,  $T_{5\%} = 4.723$ ) are shown. As can be seen in Fig. 8, PQ-RRT\* can converge to the near optimal solution more quickly and has a lower cost initial solution. In the environment maze-1,  $l_{optimal} = 219.8094$ . PQ-RRT\* requires fewer samples and less time to obtain the near optimal solution.

The statistical results of 100 simulations are shown in the box-plots. Figs. 9 and 10 depict the performance of the algorithms. It can be seen from the box-plots of Figs. 9 and 10 and Table 3

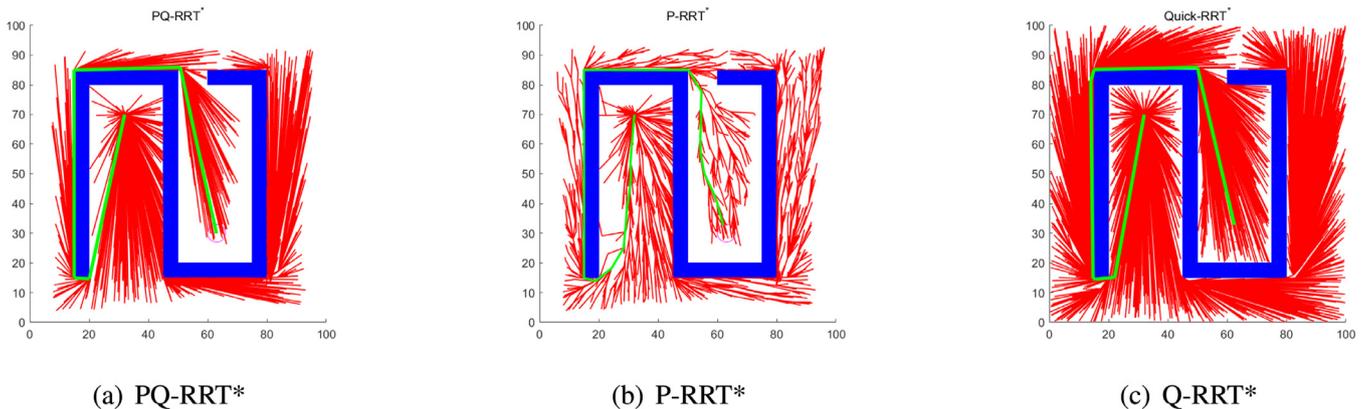


Fig. 8. Performance of the three algorithms in the environment maze-1.

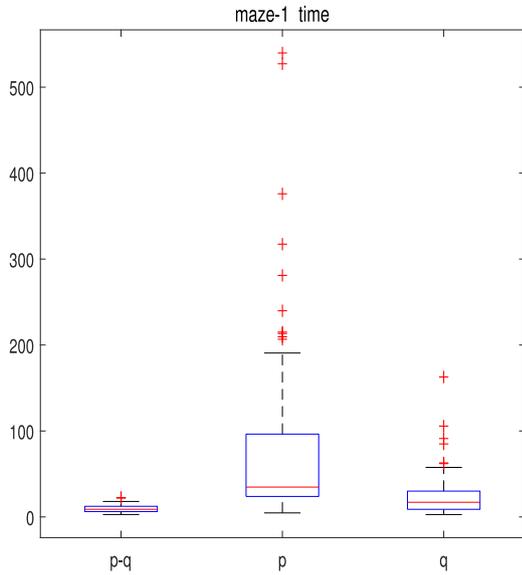


Fig. 10.  $T_{5\%}$  in the maze-1.

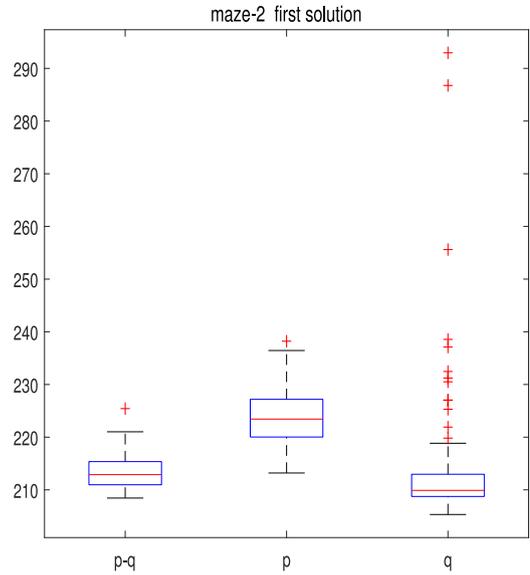


Fig. 12.  $l_f$  in the maze-2.

that PQ-RRT\* is superior to P-RRT\* and Quick-RRT\*. It should be pointed out that the failed experiments are not included in the calculation of the mean, standard deviation, maximum and minimum. From the above analysis, it can be concluded that PQ-RRT\* performs better than P-RRT\* and Quick-RRT\* in maze-1.

6.4. maze-2

Environment maze-2 is shown in Fig. 1(d). Fig. 11 shows the performance of the three algorithms. In Fig. 11, the generated paths of PQ-RRT\* ( $l_f = 209.2523$ ,  $T_{5\%} = 2.346$ ), P-RRT\* ( $l_f = 216.7866$ ,  $T_{5\%} = 14.363$ ) and Q-RRT\* ( $l_f = 221.9119$ ,  $T_{5\%} = 13.921$ ) are shown. Fig. 11 demonstrates that the proposed algorithm PQ-RRT\* obtains better performance. In the environment maze-2,  $l_{optimal} = 204.1923$ .

The statistical results of 100 simulations are shown in the box-plots. Figs. 12 and 13 show the comparison of the two indicators,  $l_f$  and  $T_{5\%}$ , respectively. In Fig. 12, the median of PQ-RRT\* is slightly higher than that of Quick-RRT\*, but Quick-RRT\* has many abnormalities that getting the initial solutions takes a long time. In Fig. 13, PQ-RRT\* has a faster convergence rate than the other two algorithms. In Table 4, the last column demonstrates PQ-RRT\* performs best. Combining two performance indicators, PQ-RRT\* performs best in maze-2.

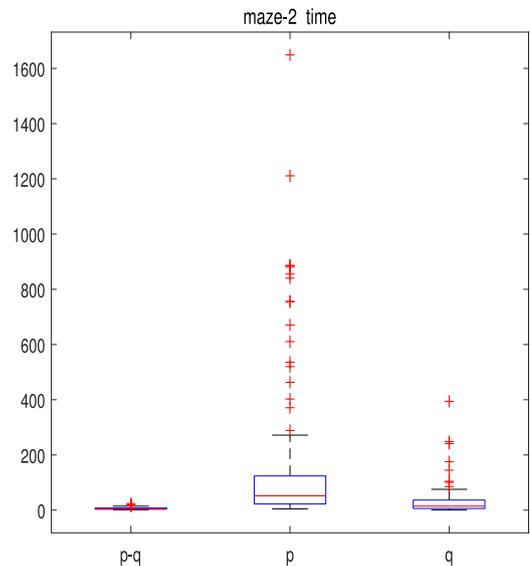
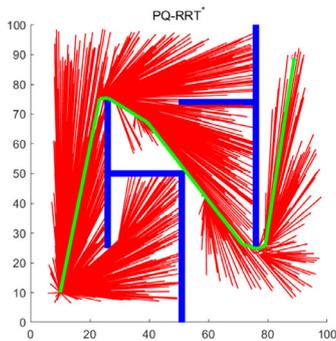
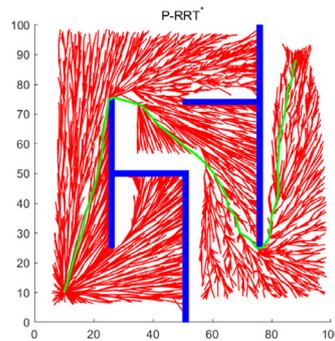


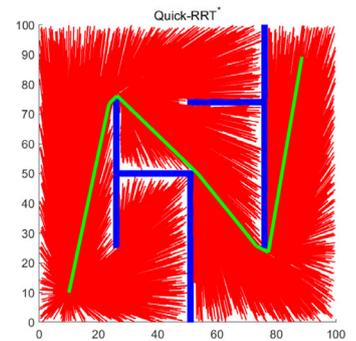
Fig. 13.  $T_{5\%}$  in the maze-2.



(a) PQ-RRT\*



(b) P-RRT\*



(c) Q-RRT\*

Fig. 11. Performance of the three algorithms in the environment maze-2.

**Table 4**  
Comparing algorithms on the quality of the initial solution and convergence rate in maze-2.

Algorithm		Mean	Std	Min	Max	Fail
<b>PQ-RRT*</b>	$l_f$	213.3854	3.1562	208.4424	225.4243	0
	$T_{5\%}$	6.2253	4.2351	1.583	23.938	
<b>P-RRT*</b>	$l_f$	223.8193	5.0614	213.1984	238.2166	16
	$T_{5\%}$	62.1314	64.2663	4.722	288.623	
<b>Quick-RRT*</b>	$l_f$	214.1641	13.4942	205.3227	292.9553	1
	$T_{5\%}$	30.1505	43.3519	0.493	248.213	

The performance of the navigation is usually subject to the initial solution of path planning since the robot will follow the initial solution at the beginning. The boxplots show that the proposed algorithm can generate a relatively better solution. A better initial solution means more energy saving under the same conditions. Moreover, the near optimal solution obtained by PQ-RRT\* requires fewer nodes which make memory utilization more efficient. The quality of a path planning algorithm depends not only on asymptotic optimality but also on the convergence rate. The boxplots of the indicator  $T_{5\%}$  show that PQ-RRT\* has a fast convergence to an optimal solution compared with P-RRT\* and Quick-RRT\*. From comparisons with P-RRT\* and Quick-RRT\*, we draw a conclusion that PQ-RRT\* performs best overall. PQ-RRT\* generates a better initial solution and a fast convergence rate.

**7. Conclusion**

There has been a great deal of recent research on sampling-based path planning algorithms. RRT\* is an optimal algorithm, but it has a slow rate of convergence. In order to address this problem, this paper proposed an improved RRT\* algorithm, PQ-RRT\*. This paper proves that the proposed algorithm is complete, asymptotically optimal and provides fast convergence to optimal solution. Moreover, PQ-RRT\* has the same computational complexity.

Although PQ-RRT\* is a promising algorithm, it also has its limitations. When applying PQ-RRT\* to mobile robots, the kinematic constraints of the robot must be considered. Another point is that the parameters in the proposed algorithm may not perform best in other complex environments. Therefore, in our future proceedings, we will consider the kinematic constraints and explore an adaptive parameter method for the proposed algorithm. The flexibility of the robot arm can carry out more complex tasks, so applying PQ-RRT\* to the robot arm is a potential direction. Static path planning is mostly studied at the present stage, but the actual environments are dynamic, so it is necessary to study the performance of PQ-RRT\* in dynamic path planning.

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**Declaration of Competing Interest**

Authors declare that they have no conflict of interest.

**Credit authorship contribution statement**

**Yanjie Li:** Conceptualization, Methodology, Software, Writing - original draft, Writing - review & editing. **Wu Wei:** Conceptualiza-

tion, Methodology, Writing - review & editing. **Yong Gao:** Software, Validation. **Dongliang Wang:** Validation, Writing - original draft. **Zhun Fan:** Writing - original draft.

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